

1-14-02

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INTERNET: www.IP-Counsel.com

October 24, 2001

Box Patent Application  
Commissioner for Patents  
Washington, D.C. 20231

Attorney Docket No.: 004-3307

Transmitted herewith for filing is a patent application as follows:

Inventor(s): David M. Ungar  
Title: DYNAMIC COMPILATION CONTROL  
Assignee: Sun Microsystems, Inc.

Enclosed are:

- ☒ Application Data Sheet (2 page(s))
- ☒ Request and Certification under 35 U.S.C. 122(b)(2)(B)(i) (1 page(s))
- 32 Pages of Written Description (including Specification, Claims and Abstract)
- 4 Sheets of Drawings, ☒ Formal / ☐ Informal
- ☒ Declaration for Patent Application (2 pages), ☒ Executed / ☐ Unexecuted
- ☒ Assignment of the Invention (3 pages, including Cover Sheet)
- ☐ Information Disclosure Statement (\_\_\_\_ pages)
  - ☐ with Form(s) PTO 1449 (\_\_\_\_ page(s)) and copies of \_\_\_\_ reference(s)
- ☒ Other: Check in the amount of \$882.00
- ☒ This Transmittal Letter (in duplicate) (1 page(s)) ☒ Return Postcard

## CLAIMS AS FILED

|   | Number Filed | Number Extra | Rate        | Fee       |
|---|--------------|--------------|-------------|-----------|
| Basic Fee =                                       |              |              |             | 740.00    |
| Total Claims                                      | 21 - 20      | = 1          | x \$18.00 = | 18.00     |
| Independent Claims                                | 4 - 3        | = 1          | x \$84.00 = | 84.00     |
| Multiple Dependent Claims (if any) - \$280.00 fee |              |              |             | 0.00      |
| Other: Assignment Recordation Fee                 |              |              |             | 40.00     |
| TOTAL FILING FEE                                  |              |              |             | \$ 882.00 |

- ☐ Small entity status is entitled to be asserted for the application.
- ☒ A check is enclosed for the Total Filing Fee shown above.
- ☐ Please charge the Total Filing Fee shown above to Deposit Account 50-0631.
- ☒ The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. § 1.16 or 1.17 that may be required during the pendency of this application, and to similarly credit any overpayment, to Deposit Account 50-0631.

EXPRESS MAIL LABEL NO.:

EL684226268US

Respectfully submitted,

Michael P. Noonan, Reg. No. 42,038  
Attorney for Applicant(s)  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): David M. Ungar

Title: DYNAMIC COMPILATION CONTROL

Application No.: (unassigned)

Filed:

Herewith

Examiner: (unassigned)

Group Art Unit:

(unassigned)

Atty. Docket No.: 004-3307

October 24, 2001

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COMMISSIONER FOR PATENTS  
Washington, DC 20231

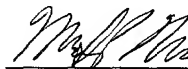
**REQUEST AND CERTIFICATION**  
***under 35 U.S.C. § 122(b)(2)(B)(i)***

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. § 122(b).

EXPRESS MAIL LABEL NO.:

EL684226268US

Respectfully submitted,



Michael P. Noonan, Reg. No. 42,038  
Attorney for Applicant(s)  
(512) 347-9030  
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*This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application upon filing*

*Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.*

*If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant must notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).*